

National Taiwan Normal University Industry- Academia Cooperation Regulations

2008.6.18 Passed during NTNU's 100th University Council

2008.7.9 Passed during the 53rd Endowment Fund Committee Committee

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2014.6.18 Amendments passed during NTNU's 112th University Council

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2021.11.24 Revision passed during 127th University Council

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Article 1. These Regulations have been established in accordance with "Regulations Governing Industry-Academia Cooperation of Tertiary Institutions", "National University Endowment Fund Management and Supervision Regulations" and "National Taiwan Normal University Endowment Fund Regulations" for the purpose of improving NTNU's research capabilities in line with national developments. Unless otherwise regulated by law, all matters pertaining to NTNU's Industry-Academia Cooperation shall be governed by these Regulations.

Article 2. The term " Industry-Academia Cooperation " mentioned in these Regulations shall refer to any of the following activities that NTNU has engaged with a government agency, a corporate entity, a civil association, or an academic institution (collectively referred to as "Partnered Institutions") to make use of existing resources for the purpose of facilitating the accumulation and dissemination of knowledge and technology, serving education, training, R&D, and service functions, and benefiting the nation's education and economic development:

- I. Research, development and applications thereof: Including themed research, substance exchange, tests, technical services, consultancy, patent application, technology transfer, innovation incubation , etc.
- II. Matters relating to talent cultivation: Includes education, training, workshops, conferences, internships, etc. for students and personnel of Partnered Institutions.
- III. Uses of intellectual property rights.

Article 3. To engage Industry-Academia Cooperation with a Partnered Institution, the project host needs to submit an application furnished with detailed plans, contracts and other relevant documents to the head of faculty or college. Once supported, the proposal will be circulated among related units according to the delegation of authority. Once approved, NTNU may then proceed to sign contracts with the Partnered Institution. Where the Partnered Institution is a government agency, application and contracting shall proceed according to the agency's policies.

If a full-time faculty member accepts a commissioned or subsidized project in the name of an association or foundation, or serves as the co-principal investigator or sub-principal investigator of a research project of another school or private institution, and NTNU cannot be a signatory in the contract, approval must still be obtained according to administrative procedures of NTNU.

Article 4. All Industry-Academia Cooperation must be arranged in written contracts between NTNU and the Partnered Institution. The contract must cover the following details:

- I. The nature of the Industry-Academia Cooperation and obligations to be delivered by the two parties.
- II. Budgets, payments and resources that the contracting parties need to provide.
- III. If the Partnered Institution requires a guarantee from NTNU that the licensed technology or services will not constitute infringements against others, the contract must specify that NTNU's liabilities in the event of an infringement claim is limited to the amount of budgets provided for the project.
- IV. NTNU has ownership over any intellectual property rights or results developed from the Industry-Academia Cooperation. However, part or all of the developed properties or results may be owned by or licensed to the Partnered Institution.
- V. Where the Partnered Institution must reference NTNU's name or logo, the contract must specify the exact means and circumstances of such usage.
- VI. The ownership rights of any buildings acquired during the project. Unless otherwise agreed, all publications, periodicals, instruments and equipment acquired during the project shall be treated as NTNU's property.
- VII. For any licensed technologies or services, NTNU does not guarantee the outcome of commercialization, nor is it responsible for the liabilities of produced products.
- VIII. Avoidance of conflicts of interest by those who hold stake in the Partnered

Institution. If the duty of confidentiality has a specific expiry, the expiry shall be set no later than 2 years after the project ends.

IX. Number of contract copies to be retained by the two parties.

All contracts must be signed by the project host as a commitment to fulfilling all contractual promises and obligations. Where the Partnered Institution is a government agency, the signing shall proceed according to the agency's policies.

Article 5. Except approved under special occasions, administrative fees need to be collected on all Industry-Academia Cooperation in accordance with "National Taiwan Normal University Regulations for the Administrative expenses from self-help fundraising" . Full-time faculty members that are commissioned to implement projects in the name of an association or foundation, but are still required to obtain approval in accordance with administrative procedures of NTNU, must collect administrative fees according to the principles of the National Science and Technology Council, and pay the amount into the Endowment Fund.

Article 6. Budgets for Industry-Academia Cooperation are to be provided and paid according to "National Taiwan Normal University Endowment Fund Regulations".

Article 7. If the research process or application gives rise to concerns such as health, pollution or public safety, the supervising agent shall notify NTNU's project team or committee so that the issue may be identified as an inspection focus. Where the cooperation involves sensitive technologies, ensure compliance with the Security Manual for Government-funded Sensitive Technology Research Projects published by the National Science and Technology Council.

Article 8. Below is the eligibility criteria required for participants of Industry-Academia Cooperation projects:

I. The principal investigator must have one of the following qualifications:

(I) A full-time (including project-based) faculty member that holds the position of lecturer or equivalent position and above at NTNU.

(II) A faculty member who retired from NTNU or current part-time faculty member may serve as the principal investigator of a project due to special circumstances after the project implementation unit specifies the reason and obtains approval. However, a faculty member with qualifications specified in Item 1 must serve as the co-principal investigator. If the part-time faculty member has another job, the part-

time faculty member must obtain approval from his/her employer at the other job before serving as the principal investigator.

- II. Project participants, such as the co-principal investigator, sub-principal investor, or sub-investigator, are not limited to NTNU personnel, and may be assigned by the Partnered Institution.
- III. The appointment of postdoctoral research fellows shall be in accordance with Regulations of the National Science and Technology Council and personnel rules of NTNU.
- IV. Assistants must be hired in accordance with the "NTNU Management Guidelines for Industry-Academia Collaboration Project Assistants".
- V. Where the government project specifies qualifications for project participants, the qualifications shall apply.

All project participants are bound to obey NTNU's policies and those of the Partnered Institution.

Article 9. Participants of Industry-Academia Cooperation projects shall be remunerated according to the policies of the Partnered Institution or the terms of the contract.

Article 10. The following rules apply to participants of Industry-Academia Cooperation projects:

- I. For participants of NTNU-organized internships and training courses, NTNU will be responsible to offer guidance and performance evaluation, and may issue certificates upon completion. Projects that require scheduled programs shall proceed according to "National Taiwan Normal University Continuing Education Guidelines".
- II. NTNU's project participants may conduct site visits at the Partnered Institution, or use its equipment for experiments or practices as permitted by the contract. The Partnered Institution is responsible to provide guidance and performance evaluation.
- III. Eligible instructors of the Partnered Institution may teach or offer practical guidance in NTNU according to the terms of the contract while complying with NTNU's policies. These personnel may also receive hourly pay at the rate agreed to between the two parties.

Article 11. Closing procedures of Industry-Academia Cooperation projects need to commence while the contract is still effective. Research results and intellectual property rights that belong to NTNU shall be apprehended according to the "National Taiwan

Normal University R&D Results and Technology Transfer Management Regulations".

Article 12. All administrative matters pertaining to Industry-Academia Cooperation projects are to be handled by the Research and Development Department.

Article 13. Any matters that are not addressed in the Regulations shall be governed by other NTNU policies deemed relevant.

Article 14. These Regulations have been implemented with approvals sought from the University Council. The same applies to all subsequent amendments.