

National Taiwan Normal University Academia- Industry Cooperation Policy

2008.6.18 Passed during NTNU's 100th General Meeting

2008.7.9 Passed during the 53rd Academic Fund Committee Meeting

2008.9.26 Acknowledged by the Ministry of Education in Letter Tai-Gao-3-Zi No. 0970182809

2014.6.18 Amendments passed during NTNU's 112th General Meeting

2017.5.24 Amendments passed during NTNU's 118th General Meeting

2021.11.24 Revision passed during 127th General Meeting

- Article 1. This policy has been established in accordance with Regulations Governing Academia-Industry Cooperation of Tertiary Institutions, Regulations Governing Academic Funds of Tertiary Institutions and National Taiwan Normal University Academic Fund Policy for the purpose of improving NTNU's research capabilities in line with national developments. Unless otherwise regulated by law, all matters pertaining to NTNU's academia-industry cooperation shall be governed by this policy.
- Article 2. The term "academia-industry cooperation" mentioned in this policy shall refer to any of the following activities that NTNU has engaged with a government agency, a corporate entity, a civil association, or an academic institution (collectively referred to as "Partnered Institutions") to make use of existing resources for the purpose of facilitating the accumulation and dissemination of knowledge and technology, serving education, training, R&D, and service functions, and benefiting the nation's education and economic development:
- I. Research, development and applications thereof: Including themed research, substance exchange, tests, technical services, consultancy, patent registration, technology transfer, business incubation, etc.
 - II. Matters relating to talent cultivation: Includes education, training, workshops, conferences, internships, etc. for students and personnel of Partnered Institutions.
 - III. Uses of intellectual properties.
- Article 3. To engage academia-industry cooperation with a Partnered Institution, the project host needs to submit an application furnished with detailed plans, contracts and other relevant documents to the head of faculty or college. Once supported, the proposal

will be circulated among related units according to the delegation of authority. Once approved, NTNU may then proceed to sign contracts with the Partnered Institution. Where the Partnered Institution is a government agency, application and contracting shall proceed according to the agency's policies.

If a full-time faculty member accepts a commissioned or subsidized project in the name of an association or foundation, or serves as the co-principal investigator or sub-principal investigator of a research project of another school or private institution, and NTNU cannot be a signatory in the contract, approval must still be obtained according to administrative procedures of NTNU.

- Article 4. All academia-industry cooperation must be arranged in written contracts between NTNU and the Partnered Institution. The contract must cover the following details:
- I. The nature of the academia-industry cooperation and obligations to be delivered by the two parties.
 - II. Budgets, payments and resources that the contracting parties need to provide.
 - III. If the Partnered Institution requires a guarantee from NTNU that the licensed technology or services will not constitute infringements against others, the contract must specify that NTNU's liabilities in the event of an infringement claim is limited to the amount of budgets provided for the project.
 - IV. NTNU has ownership over any intellectual properties or results developed from the academia-industry cooperation. However, part or all of the developed properties or results may be owned by or licensed to the Partnered Institution.
 - V. Where the Partnered Institution must reference NTNU's name or logo, the contract must specify the exact means and circumstances of such usage.
 - VI. The ownership rights of any buildings acquired during the project. Unless otherwise agreed, all publications, periodicals, instruments and equipment acquired during the project shall be treated as NTNU's property.
 - VII. For any licensed technologies or services, NTNU does not guarantee the outcome of commercialization, nor is it responsible for the liabilities of produced products.
 - VIII. Avoidance of conflicts of interest by those who hold stake in the Partnered Institution. If the duty of confidentiality has a specific expiry, the expiry shall be set no later than 2 years after the project ends.
 - IX. Number of contract copies to be retained by the two parties.

All contracts must be signed by the project host as a commitment to fulfilling all contractual promises and obligations. Where the Partnered Institution is a government agency, the signing shall proceed according to the agency's policies.

Article 5. Except approved under special occasions, administration fees need to be collected on all academia-industry cooperation in accordance with National Taiwan Normal University Administrative Revenue Provision Guidelines.

Full-time faculty members that are commissioned to implement projects in the name of an association or foundation, but are still required to obtain approval in accordance with administrative procedures of NTNU, must collect administration fees according to the principles of the Ministry of Science and Technology, and pay the amount into the Academic Fund.

Article 6. Budgets for academia-industry cooperation are to be provided and paid according to National Taiwan Normal University Academic Fund Policy.

Article 7. If the research process or application gives rise to concerns such as health, pollution or public safety, the supervising agent shall notify NTNU's project team or committee so that the issue may be identified as an inspection focus. Where the cooperation involves sensitive technologies, ensure compliance with the Security Manual for Government-funded Sensitive Technology Research Projects published by the Ministry of Science and Technology.

Article 8. Below is the eligibility criteria required for participants of academia-industry cooperation projects:

- I. The principal investigator must have one of the following qualifications:
 - (I) A full-time (including project-based) teacher or researcher that holds the position of lecturer or equivalent position and above at NTNU.
 - (II) A teacher who retired from NTNU or current part-time teacher may serve as the principal investigator of a project due to special circumstances after the project implementation unit specifies the reason and obtains approval. However, a teacher or research with qualifications specified in Item 1 must serve as the co-principal investigator. If the part-time teacher has another job, the part-time teacher must obtain approval from his/her employer at the other job before serving as the principal investigator.
- II. Project participants, such as the co-principal investigator, sub-principal investor,

or collaborative investigator, are not limited to NTNU personnel, and may be assigned by the Partnered Institution.

- III. The appointment of post-doctoral researchers shall be in accordance with regulations of the Ministry of Science and Technology and personnel rules of NTNU.
- IV. Assistants must be hired in accordance with the National Taiwan Normal Industry-Academia Collaboration Project Assistant Management Guidelines.
- V. Where the government project specifies qualifications for project participants, the qualifications shall apply.

All project participants are bound to obey NTNU's policies and those of the Partnered Institution.

Article 9. Participants of academia-industry cooperation projects shall be remunerated according to the policies of the Partnered Institution or the terms of the contract.

Article 10. The following rules apply to participants of academia-industry cooperation projects:

- I. For participants of NTNU-organized internships and training courses, NTNU will be responsible to offer guidance and performance evaluation, and may issue certificates upon completion. Projects that require scheduled programs shall proceed according to National Taiwan Normal University Continuing Education Guidelines.
- II. NTNU's project participants may conduct site visits at the Partnered Institution, or use its equipment for experiments or practices as permitted by the contract. The Partnered Institution is responsible to provide guidance and performance evaluation.
- III. Eligible instructors of the Partnered Institution may teach or offer practical guidance in NTNU according to the terms of the contract while complying with NTNU's policies. These personnel may also receive hourly pay at the rate agreed to between the two parties.

Article 11. Closing procedures of academia-industry cooperation projects need to commence while the contract is still effective. Research results and intellectual properties that belong to NTNU shall be apprehended according to the National Taiwan Normal University Research Results and Technology Transfer Management Policy.

Article 12. All administrative matters pertaining to academia-industry cooperation projects are to be handled by the Research and Development Department.

Article 13. Any matters that are not addressed in the Policy shall be governed by other NTNU policies deemed relevant.

Article 14. This Policy has been implemented with approvals sought from a General Meeting. The same applies to all subsequent amendments.